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臺灣電子學位論文開放取用對學術出版與學術
圖書館的影響

The Influence of Open Access on Scholarly Publishing and Academic
Libraries for the Electronic Thesis in Taiwan

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摘要

知識與學術研究成果的交流分享，乃是促使社會進步的重要推動力。隨著數位化時代的來臨，開放取用運動的興盛，打破了資訊知識的取用限制，以電子形式取用資訊資源已是普遍現象。為因應數位時代趨勢，學位授予法的修正符合現代使用者讀取電子檔的習慣，亦達到大眾於國家圖書館館內公開閱覽論文的權益，進而促進學術資源共享與學術交流。

本研究透過文獻蒐集與分析，了解國內外學位論文在商業模式與開放取用，其營運、典藏策略。其次，了解學位授予法、著作權法對學位論文電子檔公開閱覽之關係。其後，本研究利用訪談調查國圖、產業、大專院校圖書館三者對於學位論文電子檔開放取用之態度、法律對其影響，以及論文授權方式與執行現況，進而了解未來可能發展之方向。

本研究結論包括：(一) 在論文的取用與傳播上，學術資訊同時具有公共財性質也具有私有個人資訊產權之特性；使用者付費並非阻礙論文的取得，無法取得資源係因著作人不同意授權；著作人授權論文公開，能使學術社群的發展更完整。(二) 在論文增值應用方面，論文平台能有效提高著作人的學術知名度與增加論文曝光度和影響力，並扮演保存臺灣歷年學術資源之角色，使學術資源得以永續發展。(三) 在基礎實務方面，論文的抽換應保留原始檔案，避免發生學術倫理問題之情形；比對系統僅為檢測文章相似度的工具，並不能全然以此作為判斷文章抄襲與否之依據。(四) 學位授予法新法之規定維護大眾閱覽權益，著作權法保障著作人權益，同時也顧及社會和諧發展，增進公共利益；部分大專院校圖書館的論文授權書或授權方式應調整以符合學位授予法新法規定。

研究建議如下：(一) 設立公正的第三方徵信機構。(二) 政府主管機關帶領臺灣學術資源的發展。(三) 制定完整的法律規範與電子論文永久保存政策。

關鍵字：學位論文、開放取用、學位授予法、著作權、授權模式

Abstract

Sharing of knowledge and research achievement is an important driving force for social progress. With the the digital age coming and the open access movements rising, access information resources in electronic form become a common phenomenon. In response to the trend of the digital era, the revision of the Degree Conferral Act is conform the modern users who reading electronic files, and also reaches the public's rights in publicly reading thesis in the National Central Library, thereby promoting academic resource sharing and academic exchange.

The study through the literature collection and analysis, to understand the domestic and international thesis in business model and open access, its operation and collection strategy. Second, to understand the relationship between the Degree Conferral Act and the Copyright Act on the electronic thesis of public reading. This study uses interviews to investigate the attitudes of the National Central Library, industry, and college libraries in the open access of thesis electronic file, and the impact of the law on it, and the way that how thesis authorized and implemented, in order to understand the future development.

The conclusions of this study are as follows: (1) In the acquisition and dissemination of the thesis, the academic information has both the characteristics of public goods and the characteristics of private personal information property rights; the user's payment does not hinder the acquisition of the thesis, and the resource cannot be obtained because of the author does not agree to authorize. The public reading of the thesis, users can access resources through the value-added platform. It has many benefits for academic and social development. (2) In the application of thesis value-added, the thesis platform can effectively improve the academic visibility of the authors and increase his/her influence, and the thesis platform play the role of preserving the academic resources of Taiwan over the years, so that the academic resources can be sustainable.

(3) In terms of basic practice, the exchange of thesis should retain the original versions to avoid ethical issues; the comparison system is only a tool for detecting the similarity of articles, it cannot be used for judging plagiarism of articles. (4) The Degree Conferral Act protects the rights of the public to read, and the Copyright Act guarantees the rights of the authors, and also takes into account the harmonious development of the society and enhances the public interest; the authorization method of the thesis of some colleges should be adjusted to comply with the law of Degree Conferral Act.

This study explored the following conclusions: (1) establish a fair credit agency; (2) Government authorities lead the development of academic resources in Taiwan; (3) Formulating a complete legal norms and a permanent preservation policy for electronic thesis.

keywords : thesis 、 open access 、 Degree Conferral Act 、 copyright 、 license model

